

Practitioner's Docket No.: 939_026

PATENT

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

09/857,540

U.S. APPLICATION NO.

PCT/JP00/07086

INTERNATIONAL APPLICATION NO.

12 October 2000

INTERNATIONAL FILING DATE

15 October 1999

PRIORITY DATE CLAIMED

TITLE OF INVENTION

HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF PRODUCING
THE SAME

APPLICANT(S) FOR DO/US

Sugio MIYAZAWA and Michio ASAI

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: DO/US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box PCT, Attention: DO/US, Assistant Commissioner for Patents, Washington D.C. 20231 on July 31, 2001, under "Express Mail Number" EL 912655288 US.

Gina M. Husak

NOTIFICATION OF PRIOR SUBMISSION
OF ORIGINAL EXECUTED DECLARATION

Sir:

In response to the Notification of Missing Requirements mailed July 18, 2001, applicants' representatives filed all the requested documents with the U.S. PTO on July 23, 2001. Attached hereto are photocopies of these documents, the PTO's copy of the Notification of Missing Requirements, and our postcard receipt.

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

July 31, 2001

Date

Stephen P. Burr

Reg. No. 32,970

SPB/gmh

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Commissioner for Patents, Box PCT
United States Patent and Trademark Office
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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. Docket NO.
09/857540	MIYAZAWA	S 939 026
INTERNATIONAL APPLICATION NO.		
PCT/JP00/07086		
I.A. FILING DATE	PRIORITY DATE	
12 OCT 00	15 OCT 99	
DATE MAILED: 18 JUL 2001		

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JUL 23 2001
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NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- | | |
|---|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of Inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Winsto M Alvarado

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-6424

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EL803164075US

Your mail room stamp hereon will acknowledge receipt of a Completion of Filing Requirements for International Application Entering National Stage in U.S. Designated Office under 35 USC 371 (in duplicate), a combined Declaration and Power of Attorney (3 pages), a Submission of Certified Copies of Priority Documents and certified copies of Japanese Application 11-294180 filed October 15, 1999 and Japanese Application 2000-306641 filed October 5, 2000, an Assignment Transmittal and Assignment, and our check in the amount of \$170.00 for:

(939_026 - July 23, 2001) SPB/eav

Applicants: Sugio MIYAZAWA and Michio ASAI

Ser. No.: 09/857,540

Int'l Appl'n: PCT/JP00/07086

Intn'l Filing Date: 12 October 2000

Earliest Priority Date Claimed: 15 October 1999

Title: HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF PRODUCING THE SAME

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Syracuse, NY 13202

Manufacturers and Traders Trust Company
Salina Street Office
Syracuse, NY 13202

10/4/200

7/23/2001

2379

PAY TO THE ORDER OF Assistant Commissioner for Patents

**170.00

One Hundred Seventy and 00/100

DOLLARS

Assistant Commissioner for Patents
Washington D.C. 20231

Elizabeth Van Cleave
AUTHORIZED SIGNATURE

MEMO 939-026 late filing of dec & assignment

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